



03-28-07

17u 3692

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (6/98)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(To be used for all correspondence after initial filing)

Application No.	09/901,954
Filing Date	July 10, 2001
First Named Inventor	James Templeton
Group Art Unit	3692
Examiner Name	Nga B. Nguyen
Attorney Docket No.	PAY00-003

Total Number of Pages in this Submission:

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts Notice/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers for an application <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney by Assignee, with Revocation of Former Powers <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After-Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s): <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Check for \$ _____ <input type="checkbox"/> _____
--	--	--

Remarks:

No fee is believed due with this Reply, but if a fee is required the Commissioner is authorized to charge deposit account no. 50-1801 accordingly.

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Name	Daniel E. Vaughan (Registration No. 42,199)	Date	March 27, 2007
Signature	<i>Daniel E. Vaughan</i>	Telephone	530-759-1660
Address	2820 Fifth Street, Davis, CA 95618	Facsimile	530-759-1665

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as ☒ **Express Mail** (No. EV 893 513 735 US) or ☐ **First Class Mail** in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on: March 27, 2007

Type or Printed Name	Daniel E. Vaughan	Signature	<i>Daniel Vaughan</i>
----------------------	-------------------	-----------	-----------------------

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

The PTO did not receive the following listed item(s) Fee Transmittal form



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor : James Templeton
Application No. : 09/901,954
Confirmation No. : 7267
Filed : July 10, 2001
Docket : PAY00-003
Title : System and Method for Verifying a Financial Instrument

Group/Art Unit : 3692
Examiner : Nga B. Nguyen

REPLY UNDER 37 C.F.R. § 1.111

Commissioner for Patents
Alexandria, VA 22313

Sir:

In response to the office action mailed February 13, 2007, please amend the subject application as follows and consider the corresponding Remarks.